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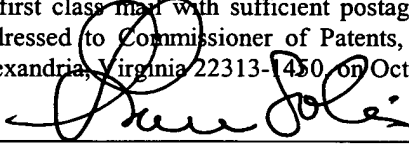
1754

ATTORNEY DOCKET NO.  
11321-P035US

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.  
Group Art Unit: 1754  
Serial No.: 09/935,994  
Filed: August 23, 2001  
Title: POLYMER-WRAPPED SINGLE WALL  
CARBON NANOTUBES

<p align="center"><u>CERTIFICATE OF MAILING</u></p> <p>I hereby certify that this Second Supplemental Information Disclosure Statement with SB/08 A-B (Form 1449) and (4) referenceS are being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on October 20, 2003.</p> <p align="center"> GRACIE SOLIS</p>
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**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313

Dear Sir:

This Second Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which they are aware, which it believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Second Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Second Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

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11321-P035US**

**PATENT**

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicant believes that the mailing of a first Office Action on the merits has not occurred in this Application. Furthermore, no item of information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Second Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Second Supplemental Information Disclosure Statement. Accordingly, Applicant believes that no fee is due at this time. 37 C.F.R. §§ 1.97(b)(3), (c)(1) and (e)(2). However, the Commissioner is hereby authorized to charge any fees to the Deposit Account No. 23-2426 (11321-P035US).

Respectfully submitted,

By: 

Ross Spencer Garsson  
Reg. No. 38,150

WINSTEAD SECHREST & MINICK P.C.  
P.O. Box 50784  
1201 Main Street  
Dallas, Texas 75250-0784  
Telephone: (512)370-2870  
Facsimile: (512)370-2850

**ATTORNEYS FOR APPLICANT**

AUSTIN\_1\234334\1  
11321-P035US 10/20/2003



In Place of FORM PTO-1449 (Modified)

Serial Number: 09/935,994  
Applicants: Richard E. Smalley et al.  
Filing Date: August 23, 2001  
Group: 1754  
Atty. Docket Number: 11321-P035US

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	US 2002/0092613 A1	07/18/2002	Kuper	156	296	
____ABA						
____ACA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____ADA	0 989 579 A2	03/29/2000	Europe			
____AEA						
____AFA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

\_\_\_\_AGA JIN et al., "Nonlinear optical properties of some polymer/multi-walled carbon nanotube composites," *Chemical Physics Letters*, Volume 318, pp. 505-510 (March 3, 2000).  
\_\_\_\_AHA COLEMAN et al., "Percolation-dominated conductivity in a conjugated-polymer-carbon-nanotube composite," *Rapid Communications*, Physical Review B, Volume 58, Number 12, pp. R7492-R7495 (September 15, 1998).  
\_\_\_\_AIA  
\_\_\_\_AJA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN\_1\234330\1  
11321-P035US 10/20/2003